WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (FCT)

(51) International Patent Classification 7:
H04B 7/005

A1

(11) International Publication Number: WO 00/25444

(43) International Publication Date: 4 May 2000 (04.05.00)

(21) International Application Number: PCT/GB99/03496

(22) International Filing Date: 27 October 1999 (27.10.99)

9823396.8 27 October 1998 (27.10.98) GB

(71) Applicant (for all designated States except 1/5): POVE

(71) Applicant (for all designated States except US): ROKE MANOR RESEARCH LIMITED [GB/GB]; Roke Manor, Old Salisbury Lane, Romsey, Hants SO51 0ZN (GB).

(72) Inventor; and
(75) Inventor/Applicant (for US only): HULBERT, Anthony, Peter [GB/GB]; 48 Wilton Crescent, Shirley, Southampton, Hants

SO15 7QH (GB).

(30) Priority Data:

(74) Agent: KAY, Ross, Marcel; Siemens Shared Services Limited, Intellectual Property Department, Siemens House, Oldbury, Bracknell, Berkshire RG12 8FZ (GB). (81) Designated States: CN, JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

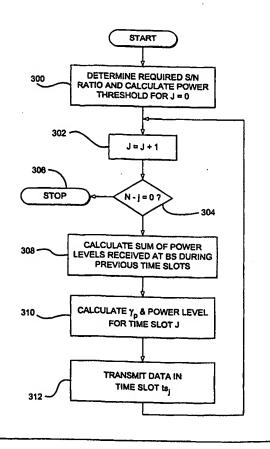
Published

With international search report.

(54) Title: METHOD OF AND APPARATUS FOR POWER CONTROL

(57) Abstract

When transmitting bursty data, for example packet data, a mobile terminal uses information relating to signal strength at the base station to determine the power at which the mobile terminal must transmit in order to produce a required signal to noise ratio at the base station. In frequency division duplex techniques, multi-path fading on the down-link is uncorrelated with multi-path fading on the up-link. Power measurements can be averaged at the mobile terminal over a likely fading period. However, this does not cater for instantaneous power level fluctuations in the up-link direction, which can result in the power transmitted by the mobile terminal being too high or too low at the start of a frame. The invention maintains a predetermined signal to noise ratio. At a given time slot, a power level is determined which, over remaining time slots, is based on the sum of power levels corresponding to previous time slots and the number of time slots remaining in the frame. Where multi-path fading occurs, smaller variations in average power over the frame will occur leading to improved system capacity.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

| AL | Albania | ES | Spain | LS | Lesotho | SI | Slovenia |
|----|--------------------------|----|---------------------|------|-----------------------|-----|-------------------------|
| AM | Armenia | FI | Finland | LT | Lithuania | SK | Slovakia |
| AT | Austria | FR | France | LU | Luxembourg | SN | Senegal |
| ΑU | Australia | GA | Gabon | LV | Latvia | SZ | Swaziland |
| AZ | Azerbaijan | GB | United Kingdom | MC | Monaco | TD | Chad ` |
| BA | Bosnia and Herzegovina | GE | Georgia | MD | Republic of Moldova | TG | Togo |
| BB | Barbados | GH | Ghana | MG | Madagascar | TJ | Tajikistan |
| BE | Belgium | GN | Guinea | MK | The former Yugoslav | TM | Turkmenistan |
| BF | Burkina Faso | GR | Greece | | Republic of Macedonia | TR | Turkey |
| BG | Bulgaria | HU | Hungary | ML | Mali | TT | Trinidad and Tobago |
| BJ | Benin | IE | Ireland | MN | Mongolia | UA | Ukraine |
| BR | Brazil | IL | Israel | MR | Mauritania | UG | Uganda |
| BY | Belarus | IS | Iceland | MW | Malawi | US | United States of Americ |
| CA | Canada | IT | Italy | MX | Mexico | UZ | Uzbekistan |
| CF | Central African Republic | JP | Japan | NE | Niger | VN | Viet Nam |
| CG | Congo | KE | Kenya | · NL | Netherlands | YU | Yugoslavia |
| CH | Switzerland | KG | Kyrgyzstan | NO | Norway | zw | Zimbabwe |
| CI | Côte d'Ivoire | KP | Democratic People's | NZ | New Zealand | 211 | Zimoaowe |
| CM | Cameroon | | Republic of Korea | PL | Poland | | |
| CN | China | KR | Republic of Korea | PT | Portugal | | |
| CU | Cuba | KZ | Kazakstan | RO | Romania | | |
| CZ | Czech Republic | LC | Saint Lucia | RU | Russian Federation | | |
| DE | Germany | LI | Liechtenstein | SD | Sudan | | |
| DK | Denmark | LK | Sri Lanka | SE | Sweden | | |
| EE | Estonia | LR | Liberia | SG | Singapore | | |

METHOD OF AND APPARATUS FOR POWER CONTROL

The present invention relates to a method of and apparatus for power control, of the type used in a communication system, for example, in a spread-spectrum communication system, such as a Code Division Multiple Access (CDMA) communication system.

In a CDMA cellular communication system, power control is used to equalize signal to noise (S/N) ratios of the signals received at a base station from various mobile terminals. In the term 'signal to noise ratio', the term 'noise' is intended to include interference in the form of signals from other mobile terminals, as well as background noise.

A known technique involves measuring the S/N ratio in respect of signals received from a given mobile terminal over a measurement interval and comparing the measured S/N ratio against a desired threshold. If the measured S/N ratio exceeds the desired threshold, a binary 1 (or 0, depending upon the convention employed) is transmitted (within the plurality of signals transmitted from the base station) to the mobile terminal originating the given signal. If the measured S/N ratio is lower than the desired threshold, a binary 0 (or 1, depending upon the convention employed) is transmitted to the given mobile terminal. The mobile terminal, in turn, responds by reducing its transmission power by, for example, 1 dB if a 1 (or 0) is received or by increasing its power by 1 dB if a 0 (or 1) is received. In this way, the received S/N ratio is held approximately constant as path loss between the given mobile station and the base station varies and/or as the level of interference at the base station from other mobile terminals varies.

The above technique is effective in the transmission of continuous data where any transients associated with the initial setting of transmitter power at

the mobile terminal can be ignored. However, where individual bursts (frames) of data are transmitted, for example packet data, the mobile terminal must set its initial transmitter power according to a so-called open loop power control technique. In this technique, the base station signals to the mobile terminal(s) the power at which the base station is transmitting; this can be either the total power received or the power of a particular signal which the mobile(s) station is receiving, and the interference level at the base station. The mobile terminal measures the power level of the corresponding signal received from the base station and uses the signalled information, i.e. the information relating to signal strength at the base station, to determine the power at which the mobile terminal must transmit in order to produce a required S/N ratio at the base station. On average, this should be the correct power. However, in many CDMA systems the frequency used for transmission from the base station to the mobile terminal (down-link) is different from the frequency used for transmission from the mobile terminal to the base station (up-link). Such a scheme is known as a Frequency Division Duplex (FDD) technique. In an FDD technique, propagation of signals is non-reciprocal in the short term, for example, multipath fading on the down-link is uncorrelated with multi-path fading on the uplink. This effect can be mitigated somewhat by averaging the power measurements at the mobile terminal over the likely fading period. However, this does not cater for the instantaneous path level fluctuations in the up-link direction, resulting in the power transmitted by the mobile terminal being too high or too low at the start of the frame.

In a typical CDMA system, Forward Error Correction (FEC) with interleaving is employed in order to mitigate the effects of fading and interference from other signals operating on the same frequency. If a known soft decision decoding technique is employed, the effect of the interleaving is to

make the probability of uncorrectable errors in an interleaved frame a function more of the average S/N ratio over the frame rather than, for example, the worst case S/N ratio. Consequently, if the S/N ratio at the start of a frame is too high, implementation of power control reduces the S/N ratio to the required threshold by the end of the frame, but the overall average will be higher than necessary. Conversely, if the S/N ratio at the start of a frame is too low, implementation of power control increases the S/N ratio to the required threshold by the end of the frame, but the overall average will be lower than necessary.

It is therefore an object of the present invention to obviate or at least mitigate the above described disadvantages.

According to the present invention, there is provided a method of power control in a communications system capable of transmitting a frame having a plurality of time intervals, the method comprising the steps of: selecting a time interval in respect of which a power level is to be determined; summing any previously measured power levels in respect of any time intervals preceding the selected time interval; determining the number of any remaining time intervals, and setting the power level in respect of the selected time interval based upon the sum of previously measured power levels and the number of remaining intervals in order to achieve a predetermined S/N ratio in respect of the frame.

Preferably, the power level is set during transmission of the frame in such a way as to tend to keep the received signal to noise averaged over the frame constant.

Thus, if the signal is received at a S/N ratio higher than necessary at the beginning of a frame, the method will ensure that the signal will be received at a level lower than the nominal S/N ratio by the end of the frame. Where multipath fading occurs the use of this method will result in smaller variation in average power over the frame, leading to an improvement in system capacity.

This differs from known techniques which try to modify the power level within each time interval so as to substantially keep to the predetermined signal to noise ratio during each interval.

Preferably, the time interval is a time slot.

At least one embodiment of the invention will now be described by way of example, with reference to the accompanying drawings, in which:

FIGURE 1 is a schematic diagram of the entities used in a communications system,

FIGURE 2 is a schematic diagram of a frame used by the system of Figure 1, and

FIGURE 3 is a flowchart of a method constituting an embodiment of the present invention.

Referring to Figure 1, a CDMA system comprises at least one base station 102 supporting a cell 104, the base station 102 being arranged to communicate with a mobile terminal 106 over a radio-frequency (RF) interface 108 by transmitting a frame 200 of data (Figure 2). The frame 200 comprises N time slots ts₀, ..., ts_{N-1}.

In operation, the frame 200 is transmitted from the mobile terminal 106 to the base station 102, during which power control is achieved by N adjustments of power corresponding to N time slots in the frame 200.

Referring to Figure 3, a required average S/N ratio γ_d at the base station 102 over the duration of the frame 200 is initially determined and set (step 300). A power level is then set so that the average S/N ratio γ_d per time slot at the base station 102 will be substantially met (step 300).

A subsequent time slot, ts_j , for which the power level is to be adjusted, is then selected (step 302) and the number of any remaining time slots, N-j, is determined (step 304). If the number of time slots remaining, N-j, is zero, no

further power levels are set for the frame 200 (step 306). If, however, one or more time slots remain, the sum of respective measured power levels received at the base station 102 during previous time slots is calculated (step 308). The calculation can be generally expressed as: $\sum_{i=0}^{j-1} \gamma_i$, where γ_i is the S/N ratio received in the *i*th slot.

Using the sum of the measured power levels, the predetermined average S/N ratio γ_d and knowledge of the number of remaining time slots, a predicted S/N ratio, γ_p is then calculated (step 310) and the value of γ_p is used to calculate the power level at which the mobile terminal 106 transmits signals to the base station 102. The equation used to calculate the predicted S/N ratio γ_p is derived as follows.

The predicted S/N ratio γ_p is calculated based upon the assumption that a target, of the average S/N ratio, γ_d , across the frame 200, will be met if the calculated predicted S/N ratio γ_p is maintained throughout the remainder of the frame 200, thereby keeping the average S/N ratio γ_d substantially constant over the frame 200.

Since N-j power control intervals (time slots) remain in the frame 200 for which a power level is to be predicted, in order to satisfy the S/N ratio requirement of N γ_d for the entire frame 200, the predicted S/N ratio γ_p for the remaining intervals, γ_p needs to satisfy the following equation:

$$\sum_{i=0}^{j-1} \gamma_i + (N-j)\gamma_p = N\gamma_d$$

Thus, the above equation is solved for γ_p and hence the predicted required power level (and therefore the next threshold) is calculated using the following equation:

$$\gamma_p = \frac{N\gamma_d - \sum_{i=0}^{j-1} \gamma_i}{N - j}$$

During the selected time slot, ts_j , the mobile terminal 106 transmits at the power level set (step 312) corresponding to the associated predicted S/N ratio γ_p .

A subsequent time slot is then selected (step 302) and the abovedescribed procedure for calculating and setting power levels is repeated (steps 304 to 312).

Minor obvious modifications can be made within the normal ability of a skilled person to take account of non zero periods for measurement and for signalling within the power control sub-system.

Claims:

1. A method of power control in a communications system capable of transmitting a frame having a plurality of time intervals, the method comprising the steps of:

selecting a time interval in respect of which a power level is to be determined;

summing any previously measured power levels in respect of any time intervals preceding the selected time interval;

determining the number of any remaining time intervals; and setting the power level in respect of the selected time interval based upon the sum of previously measured power levels and the number of remaining intervals so as to achieve a predetermined signal to noise ratio in respect of the frame.

- 2. A method according to Claim 1, wherein the power level setting step takes place during transmission of the frame.
- 3. A method according to Claim 1, wherein the power level setting step keeps the received signal to noise ratio averaged over the frame substantially constant.
- 4. A method according to Claim 1, wherein the time interval is a time slot.
- 5. A method according to Claim 1, wherein the communications system is a spread spectrum communications system.

- 6. A method according to Claim 5, wherein the spread spectrum communications system is a CDMA communications system.
- 7. A method according to Claim 6, wherein the power level setting step achieves a signal to noise ratio, γ_p , which is given by the formula:

$$\gamma_p = \frac{N\gamma_d - \sum_{i=0}^{j-1} \gamma_i}{N - j}$$

wherein γ_i is the S/N ratio received at the base station in the *i*th interval; $\sum_{i=0}^{j-1} \gamma_i$ is the sum of S/N ratios received corresponding to previous time intervals; and $N\gamma_d$ is the desired total S/N ratio sum over the frame.

- 8. A method substantially as hereinbefore described with reference to Figure
- 3.

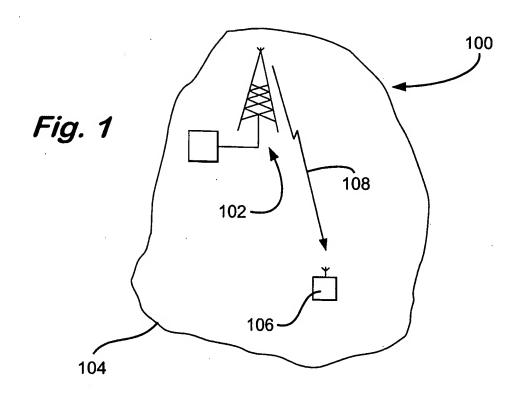
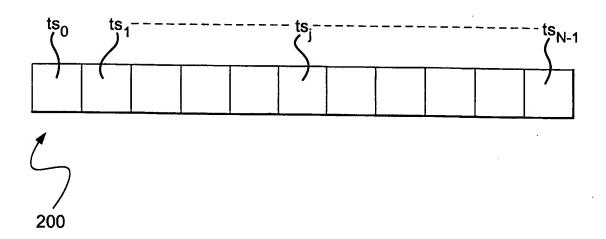
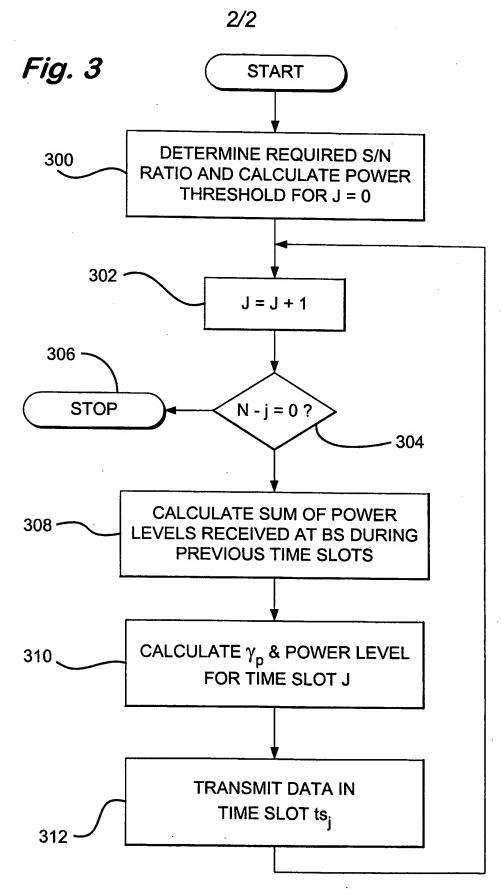


Fig. 2





SUBSTITUTE SHEET (RULE 26)

INTERNATIONAL SEARCH REPORT

Inter nal Application No PCT/GB 99/03496

| A. CLASS | IFICATION OF SUBJECT MATTER | | |
|-----------------------|--|--|-----------------------|
| IPC 7 | H04B7/005 | | - |
| | | | |
| | | | |
| | to International Patent Classification (IPC) or to both national classification | cation and IPC | |
| | SEARCHED | | |
| IPC 7 | ocumentation searched (classification system followed by classification HO4B | tion symbols) | |
| | | | |
| Documents | tionahad alt | | |
| Documenta | tion searched other than minimum documentation to the extent that | such documents are included in the fields a | earched |
| | | | |
| Electronic o | data base consulted during the international search (name of data be | ase and, where practical, search terms used | n : |
| | | · | , |
| | | | |
| | | | |
| | | | |
| | ENTS CONSIDERED TO BE RELEVANT | | |
| Category * | Citation of document, with indication, where appropriate, of the re | elevant passages | Relevant to claim No. |
| | | | |
| Α | US 5 305 468 A (BRUCKERT EUGENE | J ET AL) | 1,8 |
| | 19 April 1994 (1994-04-19) | · · · · · · · · · · · · · · · · · | 1,0 |
| | abstract | | |
| | column 3, line 29 -column 5, line claims 1-3 | e 56 | |
| | figures 5-7 | | |
| | | | |
| Α | WO 97 17769 A (MARTIN PAUL MAXWE | LL :IONICA | 1,8 |
| | I INI LID (GB); GOODINGS RUPERT LE | SLIE A) | 1,0 |
| | 15 May 1997 (1997-05-15) | | I |
| | page 1, line 13 -page 4, line 19 claims 1,2 | | |
| | | | |
| | | -/ | |
| | | <i>'</i> | |
| | | | |
| | | | |
| | | | |
| ļ | | | |
| | · | | |
| | ner documents are listed in the continuation of box C. | X Patent family members are listed | л аппех. |
| | tegories of cited documents: | "T" later document published after the inter | mational filing data |
| "A" docume conside | ent defining the general state of the art which is not ered to be of particular relevance | or priority date and not in conflict with cited to understand the principle or the | the application but |
| "E" earlier d | focument but published on or after the international | invention | • • |
| "L" docume | nt which may throw doubte on priority, claim(a) as | "X" document of particular relevance; the cl cannot be considered novel or cannot involve an investigation | ha considered to |
| WINCH | is cited to establish the publication date of another or other special reason (as specified) | involve an inventive step when the doc "Y" document of particular relevance; the cl | simed invention |
| "O" docume other n | ent referring to an oral disclosure, use, exhibition or | document is combined with one or mo | entive step when the |
| "P" docume | nt published prior to the international filing data but | ments, such combination being obviou in the art. | s to a person skilled |
| 44161 (1) | an the phonty date claimed | *&* document member of the same patent f | amily |
| Date of the a | actual completion of the international search | Date of mailing of the international sea | rch report |
| 17 | 7 December 1999 | | |
| 1/ | December 1999 | 12/01/2000 | |
| Name and m | nailing address of the ISA | Authorized officer | |
| | European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk | | • |
| | Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016 | Gkeli, M | |
| | | u, 11 | |

INTERNATIONAL SEARCH REPORT

Inter and Application No
PCT/GB 99/03496

| | Ition) DOCUMENTS CONSIDERED TO BE RELEVANT | | |
|------------|---|-----------------------|-----|
| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. | |
| | EP 0 810 743 A (NIPPON ELECTRIC CO) 3 December 1997 (1997-12-03) column 1, line 42 -column 2, line 29 column 4, line 14 - line 59 column 6, line 46 -column 8, line 6 claim 1 figures 1-3 | | 1,8 |
| : | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| |) (continuation of second sheet) (July 1992) | | |

INTERNATIONAL SEARCH REPORT

...iformation on patent family members

Inter anal Application No
PCT/GB 99/03496

| Patent document cited in search report | Publication date | Patent family member(s) | Publication date |
|--|------------------|--|--|
| US 5305468 A | 19-04-1994 | CA 2088720 A,C KR 9608955 B | 19-09-1993 10-07-1996 |
| WO 9717769 A | 15-05-1997 | AU 7501696 A BR 9611481 A EP 0860058 A | 29-05-1997 02-02-1999 26-08-1998 |
| EP 0810743 A | 03-12-1997 | JP 2785804 B JP 9321699 A AU 2368697 A CA 2206365 A CN 1167411 A | 13-08-1998 12-12-1997 04-12-1997 30-11-1997 10-12-1997 |

Form PCT/ISA/210 (patent family annex) (July 1992)